SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

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| Filed pursuant to Section 16(a) of the Securities Exchange Act of 193 | 34 |
|---|----|
|   |    |
| or Section 30(h) of the Investment Company Act of 1940                |    |

| 1. Name and Address of Reporting Person <sup>*</sup><br><u>Topline Capital Management</u> ,<br><u>LLC</u>                    |  |       | 2. Date of Event<br>Requiring Statement<br>(Month/Day/Year)<br>09/10/2024 |                    | 3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Cognyte Software Ltd.</u> [ CGNT ]  |  |                                    |  |   |  |  |
|--|--|-------|---|--------------------|---|--|------------------------------------|--|---|--|--|
| (Last) (First) (Middle)<br>544 EUCLID STREET   |  |       |   |                    | 4. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)<br>Director 10% Owner<br>Officer (give<br>title below) ☑ Other (specify<br>below) |  |                                    | File   | <ul> <li>5. If Amendment, Date of Original<br/>Filed (Month/Day/Year)</li> <li>6. Individual or Joint/Group Filing<br/>(Check Applicable Line)</li> </ul> |  |  |
| (Street)<br>SANTA<br>MONICA  | CA   | 90402 | _   |                    | See Explanation in Footnotes  |  |                                    | Form filed by One Reporting<br>Person<br>Form filed by More than One<br>Reporting Person |   |  |  |
| (City)   | (State)                                      | (Zip) |   |                    |   |  |                                    |  |   |  |  |
| Table I - Non-Derivative Securities Beneficially Owned   |  |       |   |                    |   |  |                                    |  |   |  |  |
| 1. Title of Security (Instr. 4)  |  |       |   | I                  | 2. Amount of Securities<br>Beneficially Owned (Instr.<br>4)   |  |                                    |  | 4. Nature of Indirect Beneficial<br>Ownership (Instr. 5)  |  |  |
| Common Stock   |  |       |   |                    | 8,050,553   | <b>I</b> <sup>(1</sup>                 | )(2)                               | By Topline Capital Partners  |   |  |  |
| Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities) |  |       |   |                    |   |  |                                    |  |   |  |  |
| 1. Title of Derivative Security (Instr. 4)   |  |       | 2. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year)            |                    | 3. Title and Amount of Securities<br>Underlying Derivative Security<br>(Instr. 4)   |  | 4.<br>Conver<br>or Exer<br>Price o | cise   | 5.<br>Ownership<br>Form:<br>Direct (D)  | 6. Nature of<br>Indirect Beneficial<br>Ownership (Instr.<br>5) |  |
|  |  |       | Date<br>Exercisable   | Expiration<br>Date | n<br>Title  | Amount<br>or<br>Number<br>of<br>Shares | Derivat<br>Securit                 | ive  | Direct (D)<br>or Indirect<br>(I) (Instr. 5)   | 5)   |  |
| 1. Name and Address of Reporting Person <sup>*</sup><br><u>Topline Capital Management, LLC</u>                               |  |       |   |                    |   |  |                                    |  |   |  |  |
| (Last)<br>544 EUCLIE   | (Last) (First) (Middle)<br>544 EUCLID STREET |       |   |                    |   |  |                                    |  |   |  |  |
| (Street)<br>SANTA<br>MONICA  | СА   | 904   | 402   |                    |   |  |                                    |  |   |  |  |
| (City)   | (State)                                      | (Zip  | ))  |                    |   |  |                                    |  |   |  |  |
| 1. Name and Address of Reporting Person <sup>*</sup> <u>McBirney Collin</u>  |  |       | _   |                    |   |  |                                    |  |   |  |  |
| (Last) (First) (Middle)<br>544 EUCLID STREET   |  |       |   |                    |   |  |                                    |  |   |  |  |
| (Street)<br>SANTA<br>MONICA  | СА   | 904   | 402   |                    |   |  |                                    |  |   |  |  |
| (City)   | (State)                                      | (Zip  | )   |                    |   |  |                                    |  |   |  |  |

Explanation of Responses:

1. The reporting persons are (i) Topline Capital Management, LLC, an exempt reporting adviser ("TCM"), and (ii) Collin McBirney, TCM's managing member.

2. Each reporting person may be deemed to beneficially own more than 10% of the issuer's outstanding shares of common stock. Each reporting person disclaims beneficial ownership of the shares of common stock reported herein except to the extent of its or his pecuniary interest therein, and this report shall not be deemed to be an admission that any reporting person is the beneficial owner of such shares for purposes of Section 16 or for any other purpose.

3. The 8,050,553 shares of common stock reported in Table I on this Form 3 are beneficially owned by Topline Capital Partners, LP, a Delaware limited partnership (the "Fund"). As a greater than 10% beneficial owner, the Fund is separately reporting its holdings in the issuer's securities on a Form 3 filed concurrently herewith. Each of TCM, as the investment manager and general partner of the Fund, and Mr. McBirney, as managing member of TCM, may be deemed to be the beneficial owner of the shares of common stock beneficially owned by the Fund.

> **Topline** Capital Management, LLC, By: 09/12/2024 Collin McBirney, its Managing Member Collin McBirney \*\* Signature of Reporting Date

09/12/2024

Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.